

JC928 U.S.  
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PTO/SB/05 (06-01)  
Approved for use through 10/31/2005 under 5 C.F.R. 1200-02  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR

1.54(d)(1))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application

- Fee Transmittal Form (e.g., PTO/SB/17)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages  
(preferred arrangement set forth below)] 35
- Drawing(s) (35 U.S.C. 113) [Total Sheets] [ ]
- Oath or Declaration [Total Pages 2]
- Unexecuted (original or copy)
  - Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. DELETION OF INVENTOR(S)  
signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_Prior application information: Examiner Group Art Unit:  
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)		
 <span style="margin-left: 100px;">or <input type="checkbox"/> Correspondence address below</span>			
Name	24231 PATENT TRADEMARK OFFICE		
Address			
City	State	Zip	
Country	Telephone	Fax	

Name (Print/Type)	Lance K. Ishimoto	Registration No. (Attorney/Agent)	41,866
Signature	Lance K. Ishimoto by David W. Hobler DAVID W. HOBLER REG. NO. 41,071	Date	January 11, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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01/11/02

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

## TOTAL AMOUNT OF PAYMENT

(\$)

Attorney Docket No. LEX-0298-USA

<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None
<input type="checkbox"/> Deposit Account				
Deposit Account Number 50-0892				
Deposit Account Name				

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) below, except for the filing fee  
 to the above-identified assignee account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
101	740	201 370 Utility filing fee	370.00
106	336	206 165 Design filing fee	
107	510	207 255 Plant filing fee	
108	740	208 370 Reseue filing fee	
114	160	214 80 Provisional filing fee	

SUBTOTAL (1) (\$370.00)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims -20**=	Fee from below	Fee Paid
Independent	-3*=	X	=
Multiple Dependent		X	=

Large Entity	Small Entity	Fee Description	Fee Paid
103	18	203 9 Claims in excess of 20	
104	82	202 42 Independent claims in excess of 3	
109	84	204 140 Multiple dependent claim, if not paid **Reseue independent claims over original patent	
110	18	210 9 ** Reseue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*or number previously paid, if greater; For Reissues, see above

## Complete If Known

Application Number	Not Yet Assigned
Filing Date	January 11, 2002
First Named Inventor	Yu et al.
Examiner Name	Not Yet Assigned
Group Art Unit	Not Yet Assigned

## FEE CALCULATION (continued)

3. ADDITIONAL SMALL FEES		Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(d)	
125	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

SUBTOTAL (3) (\$)

\*Reduced by Basic Filing Fee Paid

## Complete (if applicable)

Name (Print/Type)	Lance K. Ishimoto	Registration No (Attorney/Agent)	41,886	Telephone	(201) 883-3333
Signature	Lance K. Ishimoto by David W. Habler	DAVID W. HABLER REG. NO. 41,871	Date	January 11, 2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization of PTO-2038.

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Yu and Turner

U.S. Ser. No.: To be assigned Attorney Docket No.: LEX-0298-USA

Filing Date: January 11, 2002

For: Novel Human Protease and  
Polynucleotides Encoding the Same

**VERIFIED STATEMENT**

Box Patent Application  
Commission for Patents  
Washington, D.C. 20231

Sir:

I, DRENDA D. THOMAS, do declare and state as follows:

1. I prepared a Sequence Listing in paper and computer readable form under 37 C.F.R. Sec. 1.821-1.825 in connection with the above-captioned patent application, both of which are being submitted herewith.
  
2. I hereby state that the contents of the paper and computer readable copies of the Sequence Listing are the same.

Signed,

January 11, 2002  
Date

Drenda Thomas  
Drenda D. Thomas